
HSBC CHINA DRAGON FUND

滙豐中國翔龍基金

(Stock Code: 820)

Monthly Return on Movements in Units

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香港交易所

Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended (dd/mm/yyyy) : 31/12/2016

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund

Date Submitted 03/01/2017

Stock Code 820

I. Movements in Interests

| | No. of units | |
|---------------------------------------|--------------------|-----|
| | (1) | (2) |
| Balance at close of preceding month | <u>134,279,918</u> | |
| Increase/ (decrease) during the month | <u>--</u> | |
| EGM approval date | | |
| Balance at close of the month | <u>134,279,918</u> | |

II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy) | Movement during the month | | | | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------|-----------|-----------|--------|---|--|
| | Granted | Exercised | Cancelled | Lapsed | | |
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| | | | | Total | A. Nil | |
| Total funds raised during the month from exercise of options (State currency) | | | | Nil | | |

Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants (Date of expiry - dd/mm/yyyy) | Currency of nominal value | Nominal value at close of preceding month | Exercised during the month | Nominal value at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------------|---|----------------------------------|---|---|---|
| 1. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 2. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 3. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 4. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| | | | | Total <u>B. Nil</u> _____ | | |

Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description | Currency of amount outstanding | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|--------------------------------|------------------------------------|----------------------------|------------------------------|---|--|
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 2. | | | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 3. | | | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 4. | | | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| | | | | Total C. Nil | | |

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____ _____ _____ (/ /) | _____ | _____ |
| 2. _____ _____ _____ (/ /) | _____ | _____ |
| 3. _____ _____ _____ (/ /) | _____ | _____ |
| Total | D. Nil | _____ |

Other Movements in Units

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. Rights issue At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 2. Open offer At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 3. Placing At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 4. Bonus issue Issue and allotment date : (dd/mm/yyyy) (/ /) | _____ | _____ |

For Main Board listed issuers

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|------------------------------|--|--|
| | EGM approval date (dd/mm/yyyy) (/ /) | |
| 5. Scrip dividend | At price : State currency _____ EGM approval date (dd/mm/yyyy) (/ /) | _____ |
| 6. Repurchase of units | Cancellation date : (dd/mm/yyyy) (/ /) EGM approval date : (dd/mm/yyyy) (/ /) | _____ |
| 7. Redemption of units | Redemption date : (/ /) (dd/mm/yyyy) EGM approval date (dd/mm/yyyy) (/ /) | _____ |
| 8. Consideration issue | At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ |
| 9. Other (Please specify) | At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ |
| Total E. Nil | | _____ |

Total increase / (decrease) during the month (i.e. Total of A to E):

Nil

(This figure should be the same as the relevant figure under I above ("Movements in Interests").)

Remarks (if any):

PUBLIC

Submitted by: Thomas Ma
Authorised officer
HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
Dragon Fund)

Title: _____
(Director, Secretary or other duly authorised officer)

Note : If there is insufficient space, please append the prescribed continuation sheet.



香港交易所

Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended (dd/mm/yyyy) : 30/11/2016

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund

Date Submitted 01/12/2016

Stock Code 820

I. Movements in Interests

| | No. of units | |
|---------------------------------------|--------------------|-----|
| | (1) | (2) |
| Balance at close of preceding month | <u>134,279,918</u> | |
| Increase/ (decrease) during the month | <u>--</u> | |
| EGM approval date | | |
| Balance at close of the month | <u>134,279,918</u> | |

II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy) | Movement during the month | | | | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------|-----------|-----------|--------|---|--|
| | Granted | Exercised | Cancelled | Lapsed | | |
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| (/ /) | | | | | | |
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| | | | | Total | A. Nil | |
| Total funds raised during the month from exercise of options (State currency) | | | | Nil | | |

Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants (Date of expiry - dd/mm/yyyy) | Currency of nominal value | Nominal value at close of preceding month | Exercised during the month | Nominal value at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------------|---|----------------------------------|---|---|---|
| 1. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 2. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 3. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 4. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| Total <u>B. Nil</u> _____ | | | | | | |

Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description | Currency of amount outstanding | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
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| 1. | | | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| | | | | Total C. Nil | | |

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____ _____ _____ (/ /) | _____ | _____ |
| 2. _____ _____ _____ (/ /) | _____ | _____ |
| 3. _____ _____ _____ (/ /) | _____ | _____ |
| Total | D. Nil | _____ |

Other Movements in Units

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. Rights issue At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 2. Open offer At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 3. Placing At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 4. Bonus issue Issue and allotment date : (dd/mm/yyyy) (/ /) | _____ | _____ |

For Main Board listed issuers

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---------------------------|---|--|
| | EGM approval date (dd/mm/yyyy) (/ /) | |
| 5. Scrip dividend | At price : State currency _____ EGM approval date (dd/mm/yyyy) (/ /) | |
| 6. Repurchase of units | Cancellation date : (dd/mm/yyyy) (/ /) EGM approval date : (dd/mm/yyyy) (/ /) | |
| 7. Redemption of units | Redemption date : (/ /) (dd/mm/yyyy) EGM approval date (dd/mm/yyyy) (/ /) | |
| 8. Consideration issue | At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | |
| 9. Other (Please specify) | At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | |
| Total E. Nil _____ | | |

Total increase / (decrease) during the month (i.e. Total of A to E):

Nil

(This figure should be the same as the relevant figure under I above ("Movements in Interests").)

Remarks (if any):

PUBLIC

PUBLIC



香港交易所

Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended (dd/mm/yyyy) : 31/10/2016

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund

Date Submitted 01/11/2016

Stock Code 820

I. Movements in Interests

| | No. of units | |
|---------------------------------------|--------------------|-----|
| | (1) | (2) |
| Balance at close of preceding month | <u>134,279,918</u> | |
| Increase/ (decrease) during the month | <u>--</u> | |
| EGM approval date | | |
| Balance at close of the month | <u>134,279,918</u> | |

II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy) | Movement during the month | | | | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------|-----------|-----------|--------|---|--|
| | Granted | Exercised | Cancelled | Lapsed | | |
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| | | | | Total | A. Nil | |
| Total funds raised during the month from exercise of options (State currency) | | | | Nil | | |

Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants (Date of expiry - dd/mm/yyyy) | Currency of nominal value | Nominal value at close of preceding month | Exercised during the month | Nominal value at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------------|---|----------------------------------|---|---|---|
| 1. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 2. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 3. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 4. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| Total <u>B. Nil</u> _____ | | | | | | |

Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description | Currency of amount outstanding | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|--------------------------------|------------------------------------|----------------------------|------------------------------|---|--|
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
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| Stock code (if listed) | | | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| | | | | Total C. Nil | | |

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____ _____ _____ (/ /) | _____ | _____ |
| 2. _____ _____ _____ (/ /) | _____ | _____ |
| 3. _____ _____ _____ (/ /) | _____ | _____ |
| Total | D. Nil | _____ |

Other Movements in Units

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. Rights issue At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 2. Open offer At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 3. Placing At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 4. Bonus issue Issue and allotment date : (dd/mm/yyyy) (/ /) | _____ | _____ |

For Main Board listed issuers

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---------------------------|---|--|
| | EGM approval date (dd/mm/yyyy) (/ /) | |
| 5. Scrip dividend | At price : State currency _____ EGM approval date (dd/mm/yyyy) (/ /) | |
| 6. Repurchase of units | Cancellation date : (dd/mm/yyyy) (/ /) EGM approval date : (dd/mm/yyyy) (/ /) | |
| 7. Redemption of units | Redemption date : (/ /) (dd/mm/yyyy) EGM approval date (dd/mm/yyyy) (/ /) | |
| 8. Consideration issue | At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | |
| 9. Other (Please specify) | At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | |
| Total E. Nil _____ | | |

Total increase / (decrease) during the month (i.e. Total of A to E):

Nil

(This figure should be the same as the relevant figure under I above ("Movements in Interests").)

Remarks (if any):

PUBLIC

Submitted by: Thomas Ma
Authorised officer
HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
Dragon Fund)
Title: _____
(Director, Secretary or other duly authorised officer)

Note : If there is insufficient space, please append the prescribed continuation sheet.



香港交易所

Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended (dd/mm/yyyy) : 30/09/2016

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund

Date Submitted 03/10/2016

Stock Code 820

I. Movements in Interests

| | No. of units | |
|---------------------------------------|--------------|-----|
| | (1) | (2) |
| Balance at close of preceding month | 134,279,918 | |
| Increase/ (decrease) during the month | -- | |
| EGM approval date | | |
| Balance at close of the month | 134,279,918 | |

II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy) | Movement during the month | | | | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------|-----------|-----------|--------|---|--|
| | Granted | Exercised | Cancelled | Lapsed | | |
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| | | | | | | |
| | | | | Total | A. Nil | |
| Total funds raised during the month from exercise of options (State currency) | | | | Nil | | |

Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants (Date of expiry - dd/mm/yyyy) | Currency of nominal value | Nominal value at close of preceding month | Exercised during the month | Nominal value at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------------|---|----------------------------------|---|---|---|
| 1. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 2. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 3. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 4. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| | | | | Total <u>B. Nil</u> _____ | | |

Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description | Currency of amount outstanding | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|--------------------------------|------------------------------------|----------------------------|------------------------------|---|--|
| 1. | | | | | | |
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| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 2. | | | | | | |
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| | | | | | | |
| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 3. | | | | | | |
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| | | | | | | |
| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 4. | | | | | | |
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| | | | | | | |
| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| | | | | Total C. Nil | | |

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____ _____ _____ (/ /) | _____ | _____ |
| 2. _____ _____ _____ (/ /) | _____ | _____ |
| 3. _____ _____ _____ (/ /) | _____ | _____ |
| Total | D. Nil | _____ |

Other Movements in Units

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. Rights issue At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 2. Open offer At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 3. Placing At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 4. Bonus issue Issue and allotment date : (dd/mm/yyyy) (/ /) | _____ | _____ |

For Main Board listed issuers

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---------------------------|---|--|
| | EGM approval date (dd/mm/yyyy) (/ /) | |
| 5. Scrip dividend | At price : State currency | EGM approval date (dd/mm/yyyy) (/ /) |
| 6. Repurchase of units | Cancellation date : (dd/mm/yyyy) (/ /) | |
| | EGM approval date : (dd/mm/yyyy) (/ /) | |
| 7. Redemption of units | Redemption date : (dd/mm/yyyy) (/ /) | |
| | EGM approval date (dd/mm/yyyy) (/ /) | |
| 8. Consideration issue | At price : State currency | Issue and allotment date : (dd/mm/yyyy) (/ /) |
| | EGM approval date (dd/mm/yyyy) (/ /) | |
| 9. Other (Please specify) | At price : State currency | Issue and allotment date : (dd/mm/yyyy) (/ /) |
| | EGM approval date (dd/mm/yyyy) (/ /) | |
| Total E. Nil | | |

Total increase / (decrease) during the month (i.e. Total of A to E):

Nil

(This figure should be the same as the relevant figure under I above ("Movements in Interests").)

Remarks (if any):

PUBLIC

Submitted by: Thomas Ma
Authorised officer
HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
Dragon Fund)

Title: _____
(Director, Secretary or other duly authorised officer)

Note : If there is insufficient space, please append the prescribed continuation sheet.



Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended (dd/mm/yyyy) : 31/08/2016

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund
 Date Submitted 02/09/2016

Stock Code 820

I. Movements in Interests

| | No. of units | |
|---------------------------------------|--------------------|-----|
| | (1) | (2) |
| Balance at close of preceding month | <u>134,279,918</u> | |
| Increase/ (decrease) during the month | <u>--</u> | |
| EGM approval date | | |
| Balance at close of the month | <u>134,279,918</u> | |

II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy) | Movement during the month | | | | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------|-----------|-----------|--------|---|--|
| | Granted | Exercised | Cancelled | Lapsed | | |
| 1. _____ _____ _____ _____ (/ /) | | | | | | |
| 2. _____ _____ _____ _____ (/ /) | | | | | | |
| 3. _____ _____ _____ _____ (/ /) | | | | | | |
| | | | | Total | A. Nil | |
| Total funds raised during the month from exercise of options (State currency) | | | Nil | | | |

Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants (Date of expiry - dd/mm/yyyy) | Currency of nominal value | Nominal value at close of preceding month | Exercised during the month | Nominal value at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------------|---|----------------------------------|---|---|---|
| 1. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 2. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 3. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 4. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| | | | | Total <u>B. Nil</u> | | |

Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description | Currency of amount outstanding | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|--------------------------------|------------------------------------|----------------------------|------------------------------|---|--|
| 1. | | | | | | |
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| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 2. | | | | | | |
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| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 3. | | | | | | |
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| | | | | | | |
| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 4. | | | | | | |
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| | | | | | | |
| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| | | | | Total | C. Nil | |

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____ _____ _____ (/ /) | | |
| 2. _____ _____ _____ (/ /) | | |
| 3. _____ _____ _____ (/ /) | | |
| Total | D. Nil | |

Other Movements in Units

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. Rights issue At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | | |
| 2. Open offer At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | | |
| 3. Placing At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | | |
| 4. Bonus issue Issue and allotment date : (dd/mm/yyyy) (/ /) | | |

| Type of Issue | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|---|
| | <div> <div>No. of new units in Scheme issued during the month pursuant thereto</div> <div>EGM approval date (dd/mm/yyyy) (/ /)</div> </div> |
| <div> <div>5. Scrip dividend</div> <div>At price : State currency</div> </div> | <div> <div>EGM approval date (dd/mm/yyyy) (/ /)</div> <div></div> </div> |
| <div> <div>6. Repurchase of units</div> <div>Cancellation date : (dd/mm/yyyy) (/ /)</div> <div>EGM approval date : (dd/mm/yyyy) (/ /)</div> </div> | <div> <div></div> <div></div> </div> |
| <div> <div>7. Redemption of units</div> <div>Redemption date : (dd/mm/yyyy) (/ /)</div> <div>EGM approval date (dd/mm/yyyy) (/ /)</div> </div> | <div> <div></div> <div></div> </div> |
| <div> <div>8. Consideration issue</div> <div>At price : State currency</div> </div> | <div> <div>Issue and allotment date : (dd/mm/yyyy) (/ /)</div> <div>EGM approval date (dd/mm/yyyy) (/ /)</div> <div></div> </div> |
| <div> <div>9. Other (Please specify)</div> <div>At price : State currency</div> </div> | <div> <div>Issue and allotment date : (dd/mm/yyyy) (/ /)</div> <div>EGM approval date (dd/mm/yyyy) (/ /)</div> <div></div> </div> |
| <div>Total E. Nil</div> | |

Total increase / (decrease) during the month (i.e. Total of A to E):

Nil

(This figure should be the same as the relevant figure under I above ("Movements in Interests").)

Remarks (if any):

PUBLIC

Submitted by: _____

HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China Dragon Fund)

Title: _____
(Director, Secretary or other duly authorised officer)

Note : If there is insufficient space, please append the prescribed continuation sheet.



Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended (dd/mm/yyyy) : 29/07/2016

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund

Date Submitted 01/08/2016

Stock Code 820

I. Movements in Interests

| | No. of units | |
|---------------------------------------|---------------------|-----|
| | (1) | (2) |
| Balance at close of preceding month | <u>167,849,838</u> | |
| Increase/ (decrease) during the month | <u>(33,569,920)</u> | |
| EGM approval date | <u>07/01/2016</u> | |
| Balance at close of the month | <u>134,279,918</u> | |

II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy) | Movement during the month | | | | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------|-----------|-----------|--------|---|--|
| | Granted | Exercised | Cancelled | Lapsed | | |
| 1. | | | | | | |
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| 2. | | | | | | |
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| (/ /) | | | | | | |
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| 3. | | | | | | |
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| (/ /) | | | | | | |
| | | | | | | |
| | | | | Total | A. Nil | |
| Total funds raised during the month from exercise of options (State currency) | | | | Nil | | |

Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants (Date of expiry - dd/mm/yyyy) | Currency of nominal value | Nominal value at close of preceding month | Exercised during the month | Nominal value at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------------|---|----------------------------------|---|---|---|
| 1. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 2. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 3. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 4. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| | | | | Total <u>B. Nil</u> _____ | | |

Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description | Currency of amount outstanding | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|--------------------------------|------------------------------------|----------------------------|------------------------------|---|--|
| 1. | | | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 2. | | | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 3. | | | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 4. | | | | | | |
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| | | | | | | |
| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| | | | | Total C. Nil | | |

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____ _____ _____ (/ /) | _____ | _____ |
| 2. _____ _____ _____ (/ /) | _____ | _____ |
| 3. _____ _____ _____ (/ /) | _____ | _____ |
| Total | D. Nil | _____ |

Other Movements in Units

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. Rights issue At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 2. Open offer At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 3. Placing At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 4. Bonus issue Issue and allotment date : (dd/mm/yyyy) (/ /) | _____ | _____ |

For Main Board listed issuers

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|--|---|
| EGM approval date (dd/mm/yyyy) (/ /) | | |
| 5. Scrip dividend | At price : State currency _____ | EGM approval date (dd/mm/yyyy) (/ /) _____ |
| 6. Repurchase of units | Cancellation date : (dd/mm/yyyy) (/ /) EGM approval date : (dd/mm/yyyy) (/ /) | _____ |
| 7. Redemption of units | Redemption date : (27/07/2016) (dd/mm/yyyy) EGM approval date (dd/mm/yyyy) (07/01/2016) | <u>(33,569,920)</u> _____ |
| 8. Consideration issue | At price : State currency _____ | Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) _____ |
| 9. Other (Please specify) | At price : State currency _____ | Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) _____ |
| Total E. <u>(33,569,920)</u> | | |

Total increase / (decrease) during the month (i.e. Total of A to E):

(33,569,920)

(This figure should be the same as the relevant figure under I above ("Movements in Interests").)

Remarks (if any):

PUBLIC

Submitted by: Thomas Ma
Authorised officer
HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
Dragon Fund)

Title: _____
(Director, Secretary or other duly authorised officer)

Note : If there is insufficient space, please append the prescribed continuation sheet.



Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended (dd/mm/yyyy) : 30/06/2016

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund

Date Submitted 04/07/2016

Stock Code 820

I. Movements in Interests

| | No. of units | |
|---------------------------------------|--------------------|-----|
| | (1) | (2) |
| Balance at close of preceding month | <u>167,849,838</u> | |
| Increase/ (decrease) during the month | <u>--</u> | |
| EGM approval date | | |
| Balance at close of the month | <u>167,849,838</u> | |

II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy) | Movement during the month | | | | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------|-----------|-----------|--------|---|--|
| | Granted | Exercised | Cancelled | Lapsed | | |
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| 3. | | | | | | |
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| (/ /) | | | | | | |
| | | | | | | |
| | | | | Total | A. Nil | |
| Total funds raised during the month from exercise of options (State currency) | | | | Nil | | |

Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants (Date of expiry - dd/mm/yyyy) | Currency of nominal value | Nominal value at close of preceding month | Exercised during the month | Nominal value at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------------|---|----------------------------------|---|---|---|
| 1. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 2. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 3. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 4. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| | | | | Total <u>B. Nil</u> _____ | | |

Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description | Currency of amount outstanding | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|--------------------------------|------------------------------------|----------------------------|------------------------------|---|--|
| 1. | | | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
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| | | | | | | |
| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 3. | | | | | | |
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| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 4. | | | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| | | | | Total C. Nil | | |

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____ _____ _____ (/ /) | _____ | _____ |
| 2. _____ _____ _____ (/ /) | _____ | _____ |
| 3. _____ _____ _____ (/ /) | _____ | _____ |
| Total | D. Nil | _____ |

Other Movements in Units

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. Rights issue At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 2. Open offer At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 3. Placing At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 4. Bonus issue Issue and allotment date : (dd/mm/yyyy) (/ /) | _____ | _____ |

For Main Board listed issuers

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|--|---|
| EGM approval date (dd/mm/yyyy) (/ /) | | |
| 5. Scrip dividend | At price : State currency _____ | EGM approval date (dd/mm/yyyy) (/ /) _____ |
| 6. Repurchase of units | Cancellation date : (dd/mm/yyyy) (/ /) EGM approval date : (dd/mm/yyyy) (/ /) | _____ |
| 7. Redemption of units | Redemption date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ |
| 8. Consideration issue | At price : State currency _____ | Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) _____ |
| 9. Other (Please specify) | At price : State currency _____ | Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) _____ |
| Total E. Nil _____ | | |

Total increase / (decrease) during the month (i.e. Total of A to E):

Nil

(This figure should be the same as the relevant figure under I above ("Movements in Interests").)

Remarks (if any):

PUBLIC

Submitted by: Thomas Ma
Authorised officer
HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
Dragon Fund)

Title: _____
(Director, Secretary or other duly authorised officer)

Note : If there is insufficient space, please append the prescribed continuation sheet.



香港交易所

Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended (dd/mm/yyyy) : 31/05/2016

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund

Date Submitted 02/06/2016

Stock Code 820

I. Movements in Interests

| | No. of units | |
|---------------------------------------|--------------------|-----|
| | (1) | (2) |
| Balance at close of preceding month | <u>167,849,838</u> | |
| Increase/ (decrease) during the month | <u>--</u> | |
| EGM approval date | | |
| Balance at close of the month | <u>167,849,838</u> | |

II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy) | Movement during the month | | | | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------|-----------|-----------|--------|---|--|
| | Granted | Exercised | Cancelled | Lapsed | | |
| 1. | | | | | | |
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| 2. | | | | | | |
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| 3. | | | | | | |
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| (/ /) | | | | | | |
| | | | | | | |
| | | | | Total | A. Nil | |
| Total funds raised during the month from exercise of options (State currency) | | | | Nil | | |

Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants (Date of expiry - dd/mm/yyyy) | Currency of nominal value | Nominal value at close of preceding month | Exercised during the month | Nominal value at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------------|---|----------------------------------|---|---|---|
| 1. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 2. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 3. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 4. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| | | | | Total <u>B. Nil</u> _____ | | |

Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description | Currency of amount outstanding | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|--------------------------------|------------------------------------|----------------------------|------------------------------|---|--|
| 1. | | | | | | |
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| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 2. | | | | | | |
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| | | | | | | |
| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 3. | | | | | | |
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| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 4. | | | | | | |
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| | | | | | | |
| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| | | | | Total C. Nil | | |

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____ _____ _____ (/ /) | _____ | _____ |
| 2. _____ _____ _____ (/ /) | _____ | _____ |
| 3. _____ _____ _____ (/ /) | _____ | _____ |
| Total | D. Nil | _____ |

Other Movements in Units

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. Rights issue At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 2. Open offer At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 3. Placing At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 4. Bonus issue Issue and allotment date : (dd/mm/yyyy) (/ /) | _____ | _____ |

For Main Board listed issuers

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|--|---|
| EGM approval date (dd/mm/yyyy) (/ /) | | |
| 5. Scrip dividend | At price : State currency _____ | EGM approval date (dd/mm/yyyy) (/ /) _____ |
| 6. Repurchase of units | Cancellation date : (dd/mm/yyyy) (/ /) EGM approval date : (dd/mm/yyyy) (/ /) | _____ |
| 7. Redemption of units | Redemption date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ |
| 8. Consideration issue | At price : State currency _____ | Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) _____ |
| 9. Other (Please specify) | At price : State currency _____ | Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) _____ |
| Total E. Nil _____ | | |

Total increase / (decrease) during the month (i.e. Total of A to E):

Nil

(This figure should be the same as the relevant figure under I above ("Movements in Interests").)

Remarks (if any):

PUBLIC

Submitted by: Thomas Ma
Authorised officer
HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China
Dragon Fund)

Title: _____
(Director, Secretary or other duly authorised officer)

Note : If there is insufficient space, please append the prescribed continuation sheet.



Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended (dd/mm/yyyy) : 29/04/2016

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund
 Date Submitted 04/05/2016

Stock Code 820

I. Movements in Interests

| | No. of units | |
|---------------------------------------|--------------|-----|
| | (1) | (2) |
| Balance at close of preceding month | 167,849,838 | |
| Increase/ (decrease) during the month | -- | |
| EGM approval date | | |
| Balance at close of the month | 167,849,838 | |

II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy) | Movement during the month | | | | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------|-----------|-----------|--------|---|--|
| | Granted | Exercised | Cancelled | Lapsed | | |
| 1. | | | | | | |
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| 3. | | | | | | |
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| (/ /) | | | | | | |
| | | | | | | |
| | | | | Total | A. Nil | |
| Total funds raised during the month from exercise of options (State currency) | | | | Nil | | |

Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants (Date of expiry - dd/mm/yyyy) | Currency of nominal value | Nominal value at close of preceding month | Exercised during the month | Nominal value at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------------|---|----------------------------------|---|---|---|
| 1. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 2. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 3. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 4. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| | | | | Total <u>B. Nil</u> | | |

Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description | Currency of amount outstanding | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|--------------------------------|------------------------------------|----------------------------|------------------------------|---|--|
| 1. | | | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 3. | | | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 4. | | | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| | | | | Total C. Nil | | |

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____ _____ _____ (/ /) | _____ | _____ |
| 2. _____ _____ _____ (/ /) | _____ | _____ |
| 3. _____ _____ _____ (/ /) | _____ | _____ |
| Total | D. Nil | _____ |

Other Movements in Units

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. Rights issue At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 2. Open offer At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 3. Placing At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 4. Bonus issue Issue and allotment date : (dd/mm/yyyy) (/ /) | _____ | _____ |

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|--|---|
| EGM approval date (dd/mm/yyyy) (/ /) | | |
| 5. Scrip dividend | At price : State currency _____ | EGM approval date (dd/mm/yyyy) (/ /) _____ |
| 6. Repurchase of units | Cancellation date : (dd/mm/yyyy) (/ /) EGM approval date : (dd/mm/yyyy) (/ /) | _____ |
| 7. Redemption of units | Redemption date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ |
| 8. Consideration issue | At price : State currency _____ | Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) _____ |
| 9. Other (Please specify) | At price : State currency _____ | Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) _____ |
| Total E. Nil _____ | | |

| | |
|---|-----|
| Total increase / (decrease) during the month (i.e. Total of A to E): | Nil |
| (This figure should be the same as the relevant figure under I above ("Movements in Interests").) | |

Remarks (if any):

PUBLIC



Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended (dd/mm/yyyy) : 31/03/2016

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund
 Date Submitted 01/04/2016

Stock Code 820

I. Movements in Interests

| | No. of units | |
|---------------------------------------|--------------------|-----|
| | (1) | (2) |
| Balance at close of preceding month | <u>167,849,838</u> | |
| Increase/ (decrease) during the month | <u>--</u> | |
| EGM approval date | | |
| Balance at close of the month | <u>167,849,838</u> | |

II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy) | Movement during the month | | | | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|---------------------------|-----------|-----------|--------|--|---|
| | Granted | Exercised | Cancelled | Lapsed | | |
| 1. | | | | | | |
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| 3. | | | | | | |
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| (/ /) | | | | | | |
| | | | | | | |
| | | | | Total | A. Nil | |
| Total funds raised during the month from exercise of options (State currency) | | | | Nil | | |

Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants (Date of expiry - dd/mm/yyyy) | Currency of nominal value | Nominal value at close of preceding month | Exercised during the month | Nominal value at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------------|---|----------------------------------|---|---|---|
| 1. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 2. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 3. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 4. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| | | | | Total <u>B. Nil</u> _____ | | |

Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description | Currency of amount outstanding | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|--------------------------------|------------------------------------|----------------------------|------------------------------|---|--|
| 1. | | | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
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| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 3. | | | | | | |
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| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 4. | | | | | | |
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| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| | | | | Total | C. Nil | |

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____ _____ _____ (/ /) | _____ | _____ |
| 2. _____ _____ _____ (/ /) | _____ | _____ |
| 3. _____ _____ _____ (/ /) | _____ | _____ |
| Total | D. Nil | _____ |

Other Movements in Units

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. Rights issue At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 2. Open offer At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 3. Placing At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 4. Bonus issue Issue and allotment date : (dd/mm/yyyy) (/ /) | _____ | _____ |

For Main Board listed issuers

| Type of Issue | | | | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|------------|----------------|---|---|--|
| EGM approval date (dd/mm/yyyy) (/ /) | | | | | |
| 5. Scrip dividend | At price : | State currency | EGM approval date (dd/mm/yyyy) (/ /) | | |
| 6. Repurchase of units | | | | | |
| Cancellation date : (dd/mm/yyyy) (/ /) | | | | | |
| EGM approval date : (dd/mm/yyyy) (/ /) | | | | | |
| 7. Redemption of units | | | | | |
| Redemption date : (dd/mm/yyyy) (/ /) | | | | | |
| EGM approval date (dd/mm/yyyy) (/ /) | | | | | |
| 8. Consideration issue | At price : | State currency | Issue and allotment date : (dd/mm/yyyy) (/ /) | | |
| EGM approval date (dd/mm/yyyy) (/ /) | | | | | |
| 9. Other (Please specify) | At price : | State currency | Issue and allotment date : (dd/mm/yyyy) (/ /) | | |
| EGM approval date (dd/mm/yyyy) (/ /) | | | | | |
| Total | | | | E. Nil | |

Total increase / (decrease) during the month (i.e. Total of A to E):

Nil

(This figure should be the same as the relevant figure under I above ("Movements in Interests").)

Remarks (if any):

PUBLIC

PUBLIC



Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended (dd/mm/yyyy) : 29/02/2016

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund
 Date Submitted 03/03/2016

Stock Code 820

I. Movements in Interests

| | No. of units | |
|---------------------------------------|--------------|-----|
| | (1) | (2) |
| Balance at close of preceding month | 167,849,838 | |
| Increase/ (decrease) during the month | -- | |
| EGM approval date | | |
| Balance at close of the month | 167,849,838 | |

II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy) | Movement during the month | | | | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------|-----------|-----------|--------|---|--|
| | Granted | Exercised | Cancelled | Lapsed | | |
| 1. | | | | | | |
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| 3. | | | | | | |
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| (/ /) | | | | | | |
| | | | | | | |
| | | | | Total | A. Nil | |
| Total funds raised during the month from exercise of options (State currency) | | | | Nil | | |

Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants (Date of expiry - dd/mm/yyyy) | Currency of nominal value | Nominal value at close of preceding month | Exercised during the month | Nominal value at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------------|---|----------------------------------|---|---|---|
| 1. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 2. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 3. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 4. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| | | | | Total <u>B. Nil</u> | | |

Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description | Currency of amount outstanding | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|--------------------------------|------------------------------------|----------------------------|------------------------------|---|--|
| 1. | | | | | | |
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| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 2. | | | | | | |
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| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 3. | | | | | | |
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| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| 4. | | | | | | |
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| | | | | | | |
| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | | (/ /) | | | | |
| Total C. Nil | | | | | | |

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____ _____ _____ (/ /) | _____ | _____ |
| 2. _____ _____ _____ (/ /) | _____ | _____ |
| 3. _____ _____ _____ (/ /) | _____ | _____ |
| Total | D. Nil | _____ |

Other Movements in Units

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. Rights issue At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 2. Open offer At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 3. Placing At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 4. Bonus issue Issue and allotment date : (dd/mm/yyyy) (/ /) | _____ | _____ |

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|--|---|
| EGM approval date (dd/mm/yyyy) (/ /) | | |
| 5. Scrip dividend | At price : State currency _____ | EGM approval date (dd/mm/yyyy) (/ /) _____ |
| 6. Repurchase of units | Cancellation date : (dd/mm/yyyy) (/ /) EGM approval date : (dd/mm/yyyy) (/ /) | _____ |
| 7. Redemption of units | Redemption date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ |
| 8. Consideration issue | At price : State currency _____ | Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) _____ |
| 9. Other (Please specify) | At price : State currency _____ | Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) _____ |
| Total E. Nil _____ | | |

| | |
|---|-----------|
| Total increase / (decrease) during the month (i.e. Total of A to E): | Nil _____ |
| (This figure should be the same as the relevant figure under I above ("Movements in Interests").) | |

Remarks (if any):

PUBLIC

Submitted by: _____

HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China Dragon Fund)

Title: _____

(Director, Secretary or other duly authorised officer)

Note : If there is insufficient space, please append the prescribed continuation sheet.



Monthly Return for Collective Investment Scheme listed under Chapter 20 of the Exchange Listing Rules (other than listed open-ended Collective Investment Scheme) on Movements in Units

For the month ended (dd/mm/yyyy) : 31/01/2016

To : Hong Kong Exchanges and Clearing Limited

Name of Scheme HSBC China Dragon Fund
 Date Submitted 02/02/2016

Stock Code 820

I. Movements in Interests

| | No. of units | |
|---------------------------------------|---------------------|-----|
| | (1) | (2) |
| Balance at close of preceding month | <u>209,812,263</u> | |
| Increase/ (decrease) during the month | <u>(41,962,425)</u> | |
| EGM approval date | <u>07/01/2016</u> | |
| Balance at close of the month | <u>167,849,838</u> | |

II. Details of Movements in Units

Unit Options (under Unit Option Schemes of the Scheme)

| Particulars of unit option scheme including EGM approval date (dd/mm/yyyy) | Movement during the month | | | | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------|-----------|-----------|--------|---|--|
| | Granted | Exercised | Cancelled | Lapsed | | |
| 1. | | | | | | |
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| (/ /) | | | | | | |
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| 2. | | | | | | |
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| (/ /) | | | | | | |
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| 3. | | | | | | |
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| | | | | | | |
| (/ /) | | | | | | |
| | | | | | | |
| | | | | Total | A. Nil | |
| Total funds raised during the month from exercise of options (State currency) | | | | Nil | | |

Warrants to Issue Units in the Scheme which are to be Listed

| Description of warrants (Date of expiry - dd/mm/yyyy) | Currency of nominal value | Nominal value at close of preceding month | Exercised during the month | Nominal value at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---------------------------------|---|----------------------------------|---|---|---|
| 1. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 2. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 3. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| 4. _____ _____ _____ _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| (/ /) Stock code (if listed) _____ Subscription price _____ EGM approval date (if applicable) (dd/mm/yyyy) _____ (/ /) | | | | | | |
| | | | | Total <u>B. Nil</u> | | |

Convertibles (i.e. Convertible into Units in the Scheme which are to be Listed)

| Class and description | Currency of amount outstanding | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|--------------------------------|------------------------------------|----------------------------|------------------------------|---|--|
| 1. | | | | | | |
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| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | (/ /) | | | | | |
| 2. | | | | | | |
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| | | | | | | |
| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | (/ /) | | | | | |
| 3. | | | | | | |
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| | | | | | | |
| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | (/ /) | | | | | |
| 4. | | | | | | |
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| | | | | | | |
| | | | | | | |
| Stock code (if listed) | | | | | | |
| Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) | (/ /) | | | | | |
| | | | | Total C. Nil | | |

Any other Agreements or Arrangements to Issue Units in the Scheme which are to be Listed, including Options (other than under Unit Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable: | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. _____ _____ _____ (/ /) | _____ | _____ |
| 2. _____ _____ _____ (/ /) | _____ | _____ |
| 3. _____ _____ _____ (/ /) | _____ | _____ |
| Total | D. Nil | _____ |

Other Movements in Units

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|---|---|--|
| 1. Rights issue At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 2. Open offer At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 3. Placing At price : State currency _____ Issue and allotment date : (dd/mm/yyyy) (/ /) EGM approval date (dd/mm/yyyy) (/ /) | _____ | _____ |
| 4. Bonus issue Issue and allotment date : (dd/mm/yyyy) (/ /) | _____ | _____ |

| Type of Issue | No. of new units in Scheme issued during the month pursuant thereto | No. of new units in Scheme which may be issued pursuant thereto as at close of the month |
|--|---|--|
| EGM approval date (dd/mm/yyyy) (/ /) | | |
| 5. Scrip dividend | At price : State currency | EGM approval date (dd/mm/yyyy) (/ /) |
| 6. Repurchase of units | | |
| Cancellation date : (dd/mm/yyyy) (/ /) | | |
| EGM approval date : (dd/mm/yyyy) (/ /) | | |
| 7. Redemption of units | | |
| Redemption date : (dd/mm/yyyy) (26/01/2016) | | |
| EGM approval date (dd/mm/yyyy) (07/01/ 2016) | | (41,962,425) |
| 8. Consideration issue | | |
| At price : State currency | Issue and allotment date : (dd/mm/yyyy) (/ /) | |
| | EGM approval date (dd/mm/yyyy) (/ /) | |
| 9. Other (Please specify) | | |
| At price : State currency | Issue and allotment date : (dd/mm/yyyy) (/ /) | |
| | EGM approval date (dd/mm/yyyy) (/ /) | |
| Total E. | | (41,962,425) |

| | |
|---|--------------|
| Total increase / (decrease) during the month (i.e. Total of A to E): | (41,962,425) |
| (This figure should be the same as the relevant figure under I above ("Movements in Interests").) | |

Remarks (if any):

[illegible]

Submitted by: Thomas Ma
Authorised officer
HSBC Global Asset Management (Hong Kong) Limited (Manager of HSBC China Dragon Fund)

Title: _____
(Director, Secretary or other duly authorised officer)

Note : If there is insufficient space, please append the prescribed continuation sheet.